

Subcontractor Qualifications

Thank you for your interest in working with Harvey | Harvey-Cleary Builders. Please complete the following Subcontractor Qualifications and return to the following office(s) for which you wish to qualify for work.

Houston Office
Corporate Office
3663 Briarpark, Suite 101
Houston, TX 77042
Attn: Subcontractor
Qualifications

Austin Office
9020 N Capital of Texas Hwy
Building 2, Suite 300
Austin, TX 78759
Attn: Subcontractor
Qualifications

Washington, DC Office
6710A Rockledge Drive
Suite 430
Bethesda, MD 20817
Attn: Subcontractor
Qualifications

San Antonio Office
4726 Shavano Oak
Suite 101
San Antonio, TX 78249
Attn: Subcontractor
Qualifications

Date of Response: _____

Name of Company: _____

Address: _____

Is the above address the Main Office Branch Office Regional Office

If branch / regional office:
Name of Parent Company: _____

Address of Parent Company: _____

Phone: _____ Fax: _____

Website: _____

Individual Contacts:

Contact: _____

Position: _____

Phone: _____

Cell: _____

Email: _____

Contact: _____

Position: _____

Phone: _____

Cell: _____

Email: _____

Contact: _____

Position: _____

Phone: _____

Cell: _____

Email: _____

Contact: _____

Position: _____

Phone: _____

Cell: _____

Email: _____

List of Trades:
(Attach additional pages if needed)

CSI Code	Description of Scope of Work
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

List the geographical locations in which your company currently works:

Is Company a Corporation Partnership Individual Joint Venture Other
 Is Company a MBE WBE DBE HUB Certified By: _____

Please attach copies of all certifications.

Year Company was Started: _____ Years Under Current Name: _____
 Date of Incorporation: _____ State of Incorporation: _____

Under what other names has your organization operated?

List the corporate officers, partners, proprietors, members, shareholders, etc. (Attach additional list if needed)

Name	Position
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

How many people are currently employed with your organization? _____

List the jurisdictions and trades categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable. (Attach additional list if needed)

State	License or Registration Number
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

List the categories of work that your organization normally performs with its own forces:

Has your organization ever failed to complete any work awarded to it? If yes, please explain in detail. Attach additional pages if needed.

Are there any judgement, claims, arbitration or suits pending or outstanding against your organization or any of its officers? If yes, please explain in detail. Attach additional pages if needed.

Has your organization filed any lawsuits or requested arbitration with regard to construction contracts within the last five years? If yes, please explain in detail. Attach additional pages if needed.

Has your organization or any of its principals ever petitioned for bankruptcy, failed in business, defaulted or been terminated on a contract awarded to you? If yes, please explain in detail. Attach additional pages if needed.

Have any of the owners, officers or major stockholders of your organization ever been indicted or convicted of a felony or other criminal conduct? If yes, please explain in detail. Attach additional pages if needed.

Has your organization ever had a claim made against it for improper, delayed, defective or non-compliant work or failure to meet warranty obligations? If yes, please explain in detail. Attach additional pages if needed.

Please list and explain any litigation brought against your company in the past five (5) years claiming that you failed to make payments. Please explain in detail. Attach additional pages if needed.

List all Unions which you have agreements with:

Local Number	Union Name	Agreement Expiration
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

What is the largest contract your organization has ever completed?

Amount: \$ _____ Year: _____ Project Name and Scope: _____

What is your expected volume this year? \$ _____ Project Name and Scope: _____

Check all building types in which the organization this qualifications package is for has worked:

- | | |
|---|---|
| <input type="checkbox"/> High-rise Office Buildings | <input type="checkbox"/> Sports / Entertainment |
| <input type="checkbox"/> Mid-rise Office Buildings | <input type="checkbox"/> Industrial Buildings |
| <input type="checkbox"/> Hotels / Hospitality | <input type="checkbox"/> Laboratories / Research |
| <input type="checkbox"/> Hospital / Healthcare | <input type="checkbox"/> Institutional (Government, Higher Education) |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Tiltwall |
| <input type="checkbox"/> Corporate Interiors | <input type="checkbox"/> Parking Structures |
| <input type="checkbox"/> Rental Facilities | <input type="checkbox"/> Design Build / Design Assist |
| <input type="checkbox"/> Other (Please list): _____ | |

State the average annual amount of construction work performed during the past five years:

2021: _____
 2020: _____
 2019: _____
 2018: _____
 2017: _____

Attach a list of major projects your organization has completed in the past five (5) years. Give the name of the project, location, general contractor, owner, architect, contract amount, date of completion, and percent completed using your own forces.

Attach a list of major projects in progress. Give the name of the project, location, general contractor, owner, architect, contract amount, percent complete and the scheduled completion date.

Attach a list of the construction experience and present commitments of the key individuals of your organization.

References:

Please provide a minimum of three supplier references.

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Please provide a minimum of three contractor references.

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Contact: _____
Company: _____
Position: _____
Phone: _____
Email: _____

Name of your Bank:

Contact: _____
 Address: _____
 Phone: _____
 Email: _____

Name of your Bonding Company:

Contact: _____
 Address: _____
 Phone: _____
 Email: _____

Name of your Agent:

Contact: _____
 Address: _____
 Phone: _____
 Email: _____

Dunn and Bradstreet Number: _____

Bonding Capacity:

Per Project: \$ _____ Aggregate: \$ _____
 Current Backlog: \$ _____

Please provide sample copies of your organization's insurance certificates and complete the limits below:
 Copies of the D.E. Harvey Builders' requirements are attached.

	Company Name	Per Occurrence	Aggregate
General Liability:	_____	_____	_____
Auto Liability:	_____	_____	_____
Excess Liability:	_____	_____	_____

Workers Compensation Statutory Texas Coverage? Yes No

Attach a current financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivables, notes receivable, accrued income, deposits, material inventory, and prepaid expenses);

Net Fixed Assets and any Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries, and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

Name, address, and phone of firm preparing the attached financial statement:

Name: _____
Contact: _____
Address: _____
Phone: _____

Is the attached financial statement for the identical organization named on page one? If no, please explain in detail the fiduciary responsibility of the organization whose financial statement is provided.

Will the organization whose financial statement is attached act as guarantor of the contracts for construction?

Yes

No

Does your organization have an OSHA compliant written safety program? If yes, please provide a complete copy. Yes No

List your organization's Experience Modifier Rate (EMR) for the last five (5) years. Please verify with an attached letter from your insurance company.

2021: _____
 2020: _____
 2019: _____
 2018: _____
 2017: _____

Does your field team hold job site meetings? Yes No
 If yes, how often? _____

Does your organization have a Drug and Alcohol Policy? If yes, please attach a complete copy. Yes No

Please provide the following information from the OSHA 300 Logs for the past five (5) years. If available, please attach the logs.

	2021	2020	2019	2018	2017
Number of fatalities (Column G from 300)	_____	_____	_____	_____	_____
Number of medical treatment cases (Column J from 300)	_____	_____	_____	_____	_____
Number of restricted day cases (Column I from 300)	_____	_____	_____	_____	_____
Number of lost day cases (Column H from 300)	_____	_____	_____	_____	_____
Man hours worked	_____	_____	_____	_____	_____
Total Recordable Incident Rate (TRIR)	_____	_____	_____	_____	_____
OSHA Lost Workday Incident Rate	_____	_____	_____	_____	_____

Note: Items in Parenthesis come from your OSHA 300 Logs.
 Total Recordable Incident Rate = (G + H + I + J) x 200,000 / Total Man Hours
 Lost Workday Incident Rate = H x 200,000 / Total Man Hours
 Total Man Hours = the total number of hours worked during the calendar year by all employees

How many OSHA violations has your company received in the last five (5) years?

2021 _____ 2018 _____
 2020 _____ 2017 _____
 2019 _____

Any willful OSHA violations?

Yes

No

If yes, please explain in detail. Attach additional pages if needed.

Has your organization had any employee deaths within the last five (5) years?

Yes

No

If yes, please give a brief description of the circumstances.

Do you have a qualified Safety Manager or other person that is responsible for the safety within your company?

Yes

No

If yes, name:

Please attach qualifications.

Have you implemented 100% fall protection on all jobs?

Yes

No

If requested, can you provide a site-specific program addressing the fall hazards in your work?

Yes

No

Do you have a home office representative (not directly involved with the project) who will visit and audit the project for safety?

Yes

No

Frequency:

Name:

Does your organization set annual safety goals?

Yes

No

If yes, please list the training required. Attach additional pages if needed.

Does your organization have a program recognizing employees for safety excellence?

Yes

No

Does your organization have a disciplinary system in place for safety violations?

Yes

No

Does your organization review safety management systems of your sub-subcontractors?

Yes

No

Does your company conduct accident / incident investigations?

Yes

No

Does your company have management accompany an injured employee to the clinics?

Yes

No

What clinic do you use for accidents?

Do you have a protocol system in place with those clinics?

Yes

No

Dated this _____ day of _____, 20_____.

Name of Organization: _____

Signature: _____

Name: _____

Title: _____

I, _____, _____, being an officer of
(Name of representative) (Title of representative)

_____ being duly sworn deposes and says that the information
(Name of organization)

contained herein is true and sufficiently complete so as not to be misleading.

Notary:

Subscribed and sworn before me on this _____ day of _____, 20_____.

Signature: _____

Notary Public Name: _____

My Commission Expires: _____

Notary Seal:

Company Seal, if applicable: